

28TH ANNUAL CANADIAN SECURITY TRADERS CONFERENCE



AUGUST 17-20, 2023

Kananaskis Mountain Lodge
Kananaskis, AB



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TABLE OF CONTENTS

- 4** WELCOME
Chair's Welcome
- 5** CSTABOARD
- 6** SCHEDULE OF EVENTS
- 10** SPEAKER BIO'S
- 24** VENDOR FAIR MAP
- 24** LIST OF VENDORS
- 25** LIST OF SPONSORS

CHAIR'S WELCOME

On behalf of the Canadian Security Traders Association and its affiliates, I extend a warm welcome to the 28th Annual Canadian Security Traders Conference, set against the breathtaking backdrop of Kananaskis, Alberta.

Just as in previous years, our foremost goal is to foster unity within the trading community and provide a platform for addressing the various forces shaping the Canadian landscape. This year, we are privileged to present an exceptional lineup of speakers who will share their insights on pivotal themes driving the ever-evolving trading landscape.

Our Thursday sessions kick off with a welcome Reception & Vendor Fair at the Explorer venue. The evening is punctuated by Opening Remarks from Meghan Chayka, a Canadian Data Scientist and co-founder of Stathletes. Meghan's dynamic commentary will set the stage for what's sure to be an exciting agenda. Don't miss the chance to unwind at the After-Hours Featuring Mike Barclay at Woody's Pub & Patio to cap off opening night.

Friday starts bright and early with the Vendor Breakfast and Fair. The day will be filled with informative sessions such as the Diamond Sponsor Fireside Chat, where Rizwan Awan moderates a conversation with Kevin Sampson. Next, Stefane Marion's Keynote Address takes center stage, offering valuable insights. Engaging sessions, such as "Demystifying US Regulation," "Are ETFs the New Derivatives?" and "North American Market Structure," promise to enrich your understanding of the trading landscape. The day concludes with an unforgettable evening at Boundary Ranch - hopefully you packed your cowboy attire!

Saturday is the final day of events, beginning with the Buyside Session on Workflow Solutions, moderated by Craig Hurl, and followed by the General Meeting. Noteworthy discussions include "Misinformation and Market Security," "Energy Transition," and "Senior Traders Speak Out," allowing you to gain valuable perspectives from industry experts. The conference culminates with the exciting "Casino Royale" event, fostering connections and fun.

Overall, the Conference is a culmination of hard work and dedication from the Board, their affiliates and volunteers who work tirelessly behind the scenes to make everything come together. It would also not be possible without our Vendors and Sponsors who generously donate time and money year after year to support the mission of the CSTA. Thank you to the PSTA for hosting this year in such a spectacular setting. It would not be possible to pull this off without the dedicated work of Roberta Pigat our Conference Chair, Patrick McEntyre Speaker Extraordinaire and Sarah Cooper our Admin who makes everything work behind the scenes. Please know that we recognize and appreciate you!

Thank you for joining us at the CSTA conference. Let's engage, connect, and learn together.

Warm regards,

Karen Jardim
Chairperson, Canadian Security Traders Association (CSTA)

CHAIR

KAREN JARDIM

ATB Capital Markets

PAST CHAIR

PAULA SEXSMITH

Viewpoint Investment Partners

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CSTA
BOARD

SCHEDULE OF EVENTS

All comments made by speakers are
off the record.

****All events will take place in the
Olympic Silver & Bronze unless
otherwise noted****



28TH ANNUAL CANADIAN SECURITY TRADERS CONFERENCE

SCHEDULE AT A GLANCE

AUGUST 17 • THURSDAY	
3:00pm – 7:00pm	Early Registration
6:00pm – 10:00pm	Welcome Reception & Vendor Fair
7:00pm – 7:30pm	Opening Remarks: Meghan Chayka
8:30pm – 1:00am	After Hours @ Woody's Pub & Patio
AUGUST 18 • FRIDAY	
7:00am – 8:00am	Breakfast with Vendors
7:00am – 6:00pm	Vendor Fair
8:00am – 8:15am	Conference Moderator Opening Address
8:15am – 8:45am	Diamond Sponsor Fireside Chat <i>Moderator:</i> John Christofilos <i>Panelist:</i> Rizwan Awan
8:45am – 9:30am	Keynote Address: Stefane Marion
9:30am – 10:00am	Demystifying US Regulation <i>Moderator:</i> John Christofilos <i>Panelist:</i> Larry Tabb
10:00am – 10:15am	Refreshment Break with Vendors
10:15am – 11:00am	Are ETFs the New Derivatives? <i>Moderator:</i> Todd Hargarten <i>Panelists:</i> Kevin Gopaul, George Barkas, Nawan Butt
11:00am – 11:45am	North American Market Structure <i>Moderator:</i> Eric Stockland <i>Speakers:</i> Doug Clark, Eric Bryce, Alex Perel
11:45am – 12:45pm	Lunch with Vendors
12:45pm – 1:45pm	Tips for Traders <i>Speakers:</i> Sonali GuptaBhaya, Alex Taylor
1:45pm – 2:15pm	TMX Market Close
2:15pm – 3:00pm	ADRs: Market Structure & Execution of ADRs <i>Moderator:</i> Heather Killian <i>Panelists:</i> Thomas Moore, Rob Verderese
3:00pm – 3:45pm	Fireside Chat: Alternative Investments <i>Moderator:</i> John Christofilos <i>Panelist:</i> Nimar Bangash

3:45pm – 4:15pm	Fireside Chat : Sustainable Trading <i>Moderator:</i> Larry Tabb <i>Panelist:</i> Duncan Higgins
4:15pm – 5:15pm	Market Places Panel <i>Moderator:</i> Patrick McEntyre <i>Panelists:</i> Laurence Rose, Richard Carleton, Doug Clark, Dan Kessous, Bryan Blake
5:00pm – 6:30pm	Vendor Fair Cocktail
5:15pm – 5:30pm	CSTA Board Of Governors & Affiliate Presidents Meeting
6:30pm – 1:00am	Shuttle Departure to Boundary Ranch
6:30pm – 1:00am	An Evening at Boundary Ranch
AUGUST 19 • SATURDAY	
7:00am – 8:00am	Breakfast with Vendors
7:00am – 12:00pm	Vendor Fair
7:50am – 8:50am	Buyside Session: Workflow Solutions/Wheels/Automation <i>Moderator:</i> Craig Hurl <i>Panelists:</i> Megan Miceli, Christopher Clodius, Jay Lee, Stephen Berte
8:50am – 9:00am	General Meeting
9:00am – 9:45am	Keynote Fireside Chat <i>Moderator:</i> Lea Hutton <i>Panelist:</i> Dr. Marlene Puffer
9:45am – 10:15am	Misinformation and Market Security <i>Speaker:</i> Jean-Christophe Boucher
10:15am – 10:30am	Refreshment Break with Vendors
10:30am – 10:45am	STA Update <i>Speaker:</i> Jim Toes
10:45am – 11:30am	Energy Transition <i>Moderator:</i> Ken Chmela <i>Panelists:</i> Lisa Mueller, Greg Heath
11:30am – 12:15pm	Senior Traders Speak Out <i>Moderator:</i> Karen Jardim <i>Panelists:</i> Paul Mastrodicasa, Jordan A. Johnston, Donald Harman
12:15pm – 1:15pm	Boxed Lunch
1:00pm – 6:00pm	Golf @ Kananaskis Country Golf Course
6:30pm – 7:00pm	Cocktails
7:00pm – 1:00am	Casino Royale
AUGUST 20 • SUNDAY	
7:00am – 11:00am	Breakfast at Leisure



**Wishes to thank all the
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Speakers
&
Our Participants**

**For their tremendous support of the
28th Annual CSTA Conference
In Kananaskis, AB**

**The CSTA also wishes to acknowledge and thank the many
volunteers and organizers for their personal time and
substantial commitment in making this Conference a
success.**

We applaud their efforts

Speakers & Moderators

(in alphabetical order)

All comments made by
speakers are off the record



Rizwan Awan
*President, Equity Trading & Head of
TMX Markets, Products & Services
TMX Group*

Rizwan Awan leads the trading businesses of Canada's premier equity exchanges, Toronto Stock Exchange, TSX Venture Exchange, and TSX Alpha Exchange. He also oversees Products and Services across TMX Markets, responsible for innovating, designing, and building market solutions across all asset classes and charting the path for Canadian markets.

Rizwan joined TMX in 2020, following nearly two decades in client facing capital markets roles at leading financial institutions. Prior to TMX, he served as Managing Director of Quantitative Execution Services at BMO Capital Markets, heading up Program and Electronic trading as well as Index and Market Structure research. As an active contributor to market structure and policy issues in Canada, Rizwan represents TMX on OSC's Market Structure Advisory Committee and IIROC's Market Rules Advisory Committee. Mr. Awan is also the former Chair of the Canadian Securities Traders Association and Board of Governors of the US Securities Traders Association. He holds a BMath degree in Computer Science from University of Waterloo and is a CFA charterholder.



George Barkas
*Vice President, Head of Global Capital
Markets
CI Investments (CIGAM)*

George, a Senior Vice President and Head of Global Capital Markets at CI Global Asset Management, has over 13 years of experience in building Canada's leading pension fund, CPPIB. He led the institution's growth from 54 staff to over 1,900, managing cross asset investment programs and teams of investment professionals. George is skilled in executing complex transactions and has extensive knowledge of global trading, derivatives, structuring, portfolio construction, financing, risk management, and governance. He was a founding board member of the Canadian Securities Lending Association and lives in Toronto with his wife Diane and three children.



Stephen Berte
*President
Bids Trading*

As President, Stephen Berte is responsible for the strategic direction and growth of BIDS Trading, the Alternative Trading System designed to increase competition and liquidity in equity trading markets around the world.

Berte began his career at Standard Life Investments (SLI) in 2003, where he spent nearly 17 years. Berte's career at SLI started as an equity trading assistant and evolved into roles that included Global Head of Equity Trading based in Edinburgh, UK, as well as Head of Trading in the Americas across all asset classes including equity, FI, derivatives, money markets, and FX. Berte also sat on the Executive Management Committee and the Investment Management Committee in the Americas, shepherding the growth of the US business and delivering world class outcomes to their clients.

From SLI, Berte spent his next three years at Liquidnet Holdings and Tourmaline Partners, operating in a variety of strategic roles including business development, relationship management and corporate strategy helping to grow both businesses.

Berte graduated from Brown University with a BA in sociology and holds FINRA Series 7, 24, and 63 licenses.



Bryan Blake is Vice President and Head of Canadian Equities at Cboe Global Markets. He is responsible for overseeing product development and sales for the company's equity trading business in Canada.

Prior to joining Cboe, Bryan served as Vice President of Electronic Trading Sales at Bank of America Merrill Lynch. His career spans sell-side, buy-side and exchange environments in leadership and business management roles. Bryan received a BA specializing in Computer Science from the University of Guelph.

Bryan Blake
VP and Head of Canadian Equities
Cboe Global Markets



Jean-Christophe Boucher is an Associate Professor at the School of Public Policy and at the Department of political science at the University of Calgary. His current work focuses on applied machine learning to understand how the digital world shapes society. He is a Fulbright Scholar and is currently responsible for projects funded by the Department of National Defence (DND) to study information operations; the Social Science and Humanities Research Council (SSHRC) to understand civil-military relations in Canada; and holds grants from Alberta Innovates and the Vaccine Confidence Fund, and Merck to study vaccine hesitancy on social media to develop better communications strategies and tools to increase vaccine uptake. He holds a BA in History from the University of Ottawa, a MA in Philosophy from the Université de Montréal and a Ph.D. in Political Science from Université Laval. He specializes in international relations, with an emphasis on foreign policy, international security, and data analytics.

Jean-Christophe Boucher
Associate Professor
University of Calgary



Eric Bryce is an Associate Director, ETF & Electronic Trading at National Bank Financial, where he thinks and writes about equities market structure and is responsible for the bank's algorithmic equities trading suite. Prior to joining NBF, Eric cut his teeth at ITG and Virtu. After the close, Eric is a reservist in the Canadian Armed Forces, a volunteer ski patroller, and an organizer of a series of workshops at a cybersecurity conference. Eric holds a B.A.Sc. in Engineering Science from the University of Toronto, which was as close as he could get to majoring in Undecided.

Eric Bryce
Associate Director
National Bank Financial



Nawan Butt
*Portfolio Manager & Head of
Capital Markets*
Purpose Investments

Nawan is currently a Portfolio Manager and Head of Capital Markets at Purpose Investments, an ETF issuer which prides itself on providing unique solutions for unmet client needs.

Joining the firm in 2015, Nawan built a skill set in product development and subsequently built out Purpose's systematic option overwriting program within ETF wrappers in his current role. In addition, Nawan is a part of Purpose's Investment Committee, focused on multi-asset portfolios that use options strategies for market hedging.

In his free time, Nawan has set out on a pursuit to break-90, which isn't as straightforward as it may seem.

Nawan completed his MSc in Finance from Simon Fraser University and is a CFA Charterholder.

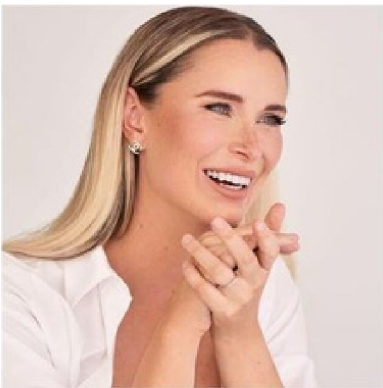


Richard Carleton
CEO
Canadian Securities Exchange

Richard Carleton was appointed CEO of the Canadian Securities Exchange in July 2011. Since then, Richard and the CSE team have positioned the exchange to take a leading role in the provision of public capital to entrepreneurial companies, and in the process, set a series of records for new listings, capital raised by issuers and trading turnover. An advocate for the public markets, Richard has been recognized for his contributions by a number of industry associations, and is a regular commentator on capital formation and market structure issues in Canada and around the world.

In addition to his responsibilities with the CSE, Richard is a director of Safe Harbor Financial, a Nasdaq-listed financial services company, Blue Ocean ATS, a US-registered system offering trading in US NMS stocks between 8 pm and 4 am EST, and Tetra Trust Company, Canada's first recognized custodian for digital assets. He is also a board member of the Empire Club of Canada, and the Private Capital Markets Association. He is also a member of the Ontario Securities Commission's "Market Structure Advisory Committee".

A member of the Law Society of Ontario since 1987, Richard is a graduate of the University of Ottawa (B.A. '81) and the University of Toronto (LL.B. '85). He has also completed the executive development programme at the Wharton School, University of Pennsylvania.



Meghan Chayka
Co-Founder/CEO
Stathletes

Meghan Chayka is a Co-Founder/CEO of Stathletes, a hockey data and analytics company. Stathletes provides industry leading hockey insights in over 22 leagues worldwide and has scaled to the highest professional levels across North America and Europe. In another industry role, Meghan is a Data Scientist in Residence at the Rotman School of Management at the University of Toronto. She was featured on the insert cover of the Hockey News' Top 100 of power and Influence, listed at 95 overall and in the Top 10 for Hockey Business Executives. Meghan and Stathletes have also been awarded Top Young Entrepreneur of the Year for 2018/2019 by the Ontario Chamber of Commerce and Top 40 under 40 in hockey for the Athletic in 2019 & 2020. She is on the board of advisors of US Integrity in the sports betting space. Meghan works with Fanduel to have a "Strength in Numbers" segment weekly on TSN. She also appears on ESPN NHL draft and programs such as Daily Wager.



Ken is a Principal at Peters & Co. Limited, a full-service investment dealer that has specialized in the Canadian energy sector for over 52 years. As an analyst in the research group, Ken covers the infrastructure, power/renewables, and oil sands companies. Ken joined Peters & Co. Limited in 2012.

Ken Chmela
Principal, Research Analyst
Peters & Co. Limited



John Christofilos leads a team responsible for trading AGF's Assets Under Management across its Retail, Institutional and Private Client portfolios. He is also a member of AGF's Business Development unit with responsibility for reviewing and leading Capital Markets opportunities and technology advancements.

John is a member of The Office of the CIO – a structure within AGF's Investment Management team. This leadership structure encourages and further embeds collaboration and active accountability across the Investment Management team and the broader organization.

John's career has spanned more than 30 years, with experience on both the buy- and sell-side. Before joining AGF in 2013, he served as Managing Director at Canaccord Genuity, leading the firm's efforts in electronic and program trading. Prior to Canaccord, he worked as Senior Managing Director for E*TRADE Institutional, a full-service financial services firm based in New York City & Palo Alto California.

John also serves as President of the Canadian Security Traders Association, and is a member of the Board of Directors of the Canadian ETF Association (CETFA) and Tradelogiq Markets. In addition, he serves on the Ontario Securities Commission's Market Structure Advisory Committee and is a Board member of The Bay Street Children's Foundation (BSCF).

John holds a Bachelor of Science degree from San Diego, California-based United States International University, where he played Division 1 Hockey and Baseball.

John Christofilos
Senior Vice-President, Chief Trading Officer & Head of Investment Management
AGF Investments Inc.



Doug Clark is the Managing Director, Head of Market Structure and Equity Market Design for TMX Group. Prior to joining TMX, in May 2022, Doug spent many decades running trading and research groups for a small, but impressive group of Global dealers. Doug is a past chair of the CSTA, and has also chaired the STA, and the OSC's Market Structure Advisory Committee. He has also been a member of a variety of global exchange and regulatory advisory committees and boards. Doug is the co-host of TMX Group's Crossing Intentions Podcast, one of the most popular Canadian Market Structure podcasts offered on the Spotify and Apple platforms.

Doug Clark
Managing Director, Head of Market Structure and Equity Market Design
TMX Group



Christopher Clodius
*Global Head of Buyside Trade
Automation*
Bloomberg

Christopher Clodius is a Product Team Lead at Bloomberg LP in charge of a team of Product Managers that are look after Buyside trade automation across Equities, Fixed Income and Foreign Exchange. Throughout 10+ years of experience at Bloomberg Christopher has held multiple positions in the electronic trading space looking after Fixed Income Trading Sales, Global Markets Client Services and in Product Management.

Christopher holds degrees from the University of North Carolina, Wilmington and Hochschule Bremen in Finance and International Business.



Sonali GuptaBhaya
Acting Vice President
CIRO

Sonali is currently the Acting Vice President of Market Policy and Trade Conduct Compliance in the Market Regulation Division of CIRO, responsible for the national leadership of these teams. Sonali also previously chaired IIROC's Crypto Asset Group. Before joining IIROC in 2015, she spent time in the Market Regulation Branch at the OSC and began her career at a major law firm in Toronto. She holds an LL.B. from Osgoode Hall Law School as well as an MBA from the Schulich School of Business.



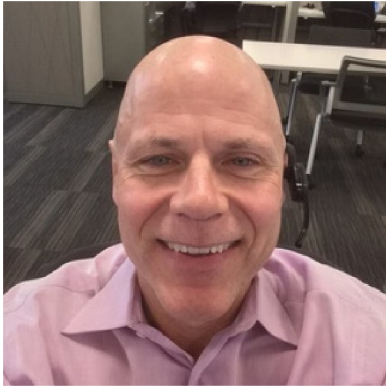
Todd Hargarten
*Head of Client Relationship
Management*
TMX Group

Todd Hargarten is Head of Client Relationship Management for TMX Markets. In this role, he is responsible for overseeing the TMX client portfolio, building and broadening long-term relationships with domestic and international clients.

Mr. Hargarten joined TMX Group in March 2021 following a lengthy career in capital markets, where he gained broad experience across all major product lines. Prior to joining TMX Group, he was with TD Securities and served in several senior leadership roles, including Executive Managing Director and Global Head of Securities Finance & Funding, Global Head of Sales & Origination for Equity Derivatives, and Head of Prime Brokerage and Securities Lending, where he managed the expansion of those business lines into the U.S. market.

Mr. Hargarten is a Chartered Professional Accountant and a Chartered Financial Analyst. He holds a Bachelors of Commerce degree from the University of Saskatchewan and a Masters of Business Administration from the University of Western Ontario.

He has been an active member of the CFA Toronto Society, serving on its Board of Directors, as chair of their Advocacy Committee and Equity Committee and involved with their Derivatives Committee. Mr. Hargarten is also a member of the Board of Governors at Upper Canada College and has ongoing involvement with charitable organizations in the community



Don is a veteran in the our business. He graduated from University of Wisconsin in 1986 and started at Norwest Investment Advisers as a trader focusing on retail clients. They weren't allowed to offer investment advise but that didn't stop Don from obtaining his series 7 and series 63. In 2001 he became a senior equity trader at Principal Global Investors and has been a there ever since. He's also been on the Top Gun List a few times!

Donald Harman
Principal Asset Management



Greg is a Managing Director in RBC Capital Markets energy investment banking group, and leads the company's North American Project Advisory and Finance Practice. Greg is a member of RBC's Canadian Investment Banking Management Committee and the Canadian Opinion Review Committee. Greg is a Professional Engineer with 27 years of experience in the petroleum industry, and the past 12 years in investment banking. He has led both execution and counterparty negotiation through more than 50 successful transactions. Greg has served as primary author for industry guidelines relating to coal bed methane and oil sands evaluation, and has worked with the Alberta Government on initiatives relating to liability obligations and remediation. Greg is a Professional Engineer with a Bachelor of Applied Science from Queen's University at Kingston (Class of 1996).

Greg Heath
*Head, North American Project
Advisory & Finance*
RBC Capital Markets



Duncan Higgins is the founder and CEO of Sustainable Trading, the non -profit membership network supporting the financial markets trading industry to implement improved Environmental, Social and Governance business practices. With 25 years' experience in financial markets, he held various positions at the agency broker and trading technology provider ITG (acquired by Virtu Financial), took Turquoise from start- up to its acquisition and spent nine years with UBS Investment Bank. Duncan is also a senior adviser to the independent investment bank Liberum.

Duncan Higgins
Founder and CEO
Sustainable Trading



Craig Hurl
Director, Global Equity Trading
Ontario Teachers Pension Plan

Craig Hurl is Director, Global Equity Trading in the Capital Markets department at the Ontario Teachers' Pension Plan Board. As of August 2008, Mr. Hurl became Head of Equity Trading, which is responsible for all agency trading (including equities and equity derivatives) within the Fund.

Prior to his current role, he was Director, Enhanced Index and Income Trusts, where he managed the Canadian Enhanced Index portfolio, an Income Trust portfolio, along with other global enhanced index strategies.

Prior to joining Teachers' in June 1997, he was Manager, Index Operations at the Toronto Stock Exchange, where he worked for 10 years.

Mr. Hurl graduated from the University of Guelph in Ontario, Canada with a Bachelor of Arts double major in Management Economics. Mr. Hurl is a Chartered Financial Analyst (CFA) charterholder, and is a member of the Toronto CFA Society.



Lea Hutton
Co-head, Cash Sales Trading
RBC Capital Markets

Lea Hutton commenced her career in 1995 with Richardson Greenshields prior to joining RBC's proprietary trading desk in 1996 and subsequently moving to Cash Equity Trading in 1999. During her tenure at RBC, Lea has held increasingly senior positions on the Equities desk and is currently co-head, Cash Sales Trading, a platform comprised of over 25 trading professionals across North America. Throughout her career she has also been an active supporter of WCM, the United Way and is co-chair of the RBC Capital Markets Employee Giving Campaign. She is also a director on the RBC Insurance Agency Board. Lea graduated from McMaster University, Honours Arts and Science, and is a CFA Charterholder.



Karen Jardim
Managing Director, Institutional Trading ATB
Capital Markets

Karen has 30 years of experience in the field. As a part-time University student, she began working at Burns Fry in the back office in 1991. She is a University of Toronto alumna. She began working on the trading floor in 1994 as a derivatives trading assistant, and in 1997 she began her full-time trading career. She moved to ATB in 2019. She became a member of the CSTA Board in 2021 as the Secretary and is the current Chair of the CSTA.



Jordan A. Johnston
Director - Equity Trading
Scotiabank

Jordan Johnston is a 30 year veteran in the financial industry. He started working at Salomon Smith Barney, part-time while attending the University of Tampa, in Florida. In 1997, he returned to Toronto and was employed by Midland Walwyn. He began working as a trader at Merrill Lynch Canada in 2001. During the course of his career, he has worked for various Bay Street firms. For the past 8 years he has been an equity trader with Scotiabank.



Dan Kessous
CEO
Nasdaq Canada

Dan Kessous is Chief Executive Officer at Nasdaq Canada. In this role, Mr. Kessous is responsible for the Exchange's strategy and oversight of its business and operational functions. Mr. Kessous joined Nasdaq in 2016 through the acquisition of Chi-X Canada ATS Limited where he was CEO. Mr. Kessous previously served as COO of Chi-X Canada and had been with the company since its inception. Prior to joining Chi-X Canada, he held various positions in trading software design and development at Reuters both in France and Canada. He is a member the OSC's Market Structure Advisory Committee and participates in the TMX IP Governance committee. Mr. Kessous is also a former member of the IIROC's Ontario District Council and a current member of the IIROC's Market Rules Advisory Committee. Mr. Kessous holds a Masters in Computer Science and an Advanced Engineering degree in Artificial Intelligence from the University of Paris-Jussieu.



Heather Killian
Managing Director & Head, Global Electronic Trading
CIBC Capital Markets

Heather Killian is the Head of Global Electronic Trading at CIBC Capital Markets. She is directly responsible for the management of CIBC's electronic equity trading solutions, and functions in numerous roles on a daily basis to help grow CIBC's equities business. Ms. Killian's responsibilities include: advancing and distributing CIBC's electronic offerings; expanding relationships with clients, counterparties, marketplaces and regulators; evaluating new technologies; and contributing to CIBC's equity markets business strategy through in-depth analysis of the regulatory and market structure environment. Ms. Killian has been a key contributor to the development and growth of CIBC's electronic trading product platform. Ms. Killian has been an active contributor to market structure dialogue and policy issues in Canada over the years as a member of various industry committees, including the OSC Market Structure Advisory Committee and IIROC's Market Rules Advisory Committee (MRAC). Additionally, Ms. Killian served on the Toronto Chapter of 100 Women in Finance which is a global organization of more than 15,000 women who collectively strive to make a difference in both industry and community. Prior to joining CIBC in 2010, Ms. Killian was the Chief Operating Officer at a Canadian Alternative Trading System (ATS) where she was involved in all aspects of the system's launch into the marketplace. She also worked for independent broker dealers with extensive experience in institutional trading and product management. Ms. Killian holds an Honours BA from the University of Western Ontario.



Kevin is Head of Canadian ETFs at Virtu. He has been with Virtu Canada Corp for more than 17 years and has held a range of roles including agency portfolio trader, merger arbitrage specialist and lead ETF market maker. He holds a Bachelor of Applied Science (Systems Design Engineering) degree from the University of Waterloo.

Kevin Law
Head of Canadian ETFs
Virtu Financial



Jay began his career in the capital markets as corporate banker before moving to sales and trading roles in fixed income, equities, equity derivatives at both Canadian and global banks. Along the way he spent over 5 years as a hedge fund manager at one of Canada's pre-eminent hedge funds. After 20+ years in the lit and OTC markets, Jay decided to move into electronic markets and joined Liquidnet as Head of Canada.

Jay Lee
Head of Liquidnet Canada
Liquidnet Canada Inc.



Stéphane Marion is Chief Economist and Strategist for National Bank of Canada and National Bank Financial, a position he has held since November 2008. Mr. Marion is regularly ranked among the top three economists in Canada by Brendan Wood International, a ranking confirmed again in 2022. The Economics and Strategy group has won a number of forecast accuracy awards for Canada. In 2012, the C.D. Howe Institute appointed Mr. Marion to its Monetary Policy Council and to the newly established Business Cycle Council. Mr. Marion joined NBF's Economics Group in 1999. Previously, Mr. Marion worked for several years in the federal departments of Finance and Industry in Ottawa, where, in addition to economic analysis and forecasting, he also worked on microeconomic policy impact studies. Mr. Marion holds a Bachelor's degree and a Master's degree in Economics from the Université de Montréal.

Stéphane Marion
Chief Economist and Strategist
National Bank Financial



Paul Mastrodicasa
*Managing Director, Institutional
Equity Trading*
RBC Capital Markets

Paul Mastrodicasa joined RBC Capital Markets in 2005 and is currently the Co-Head of Canadian High Touch Equity Trading. His career has been focused on facilitation trading across multiple sectors of the Canadian market, with a principal focus on the Energy sector. Paul has a Master of Financial Economics from the University of Toronto, and a Bachelor of Arts (Finance) from the University of Waterloo.



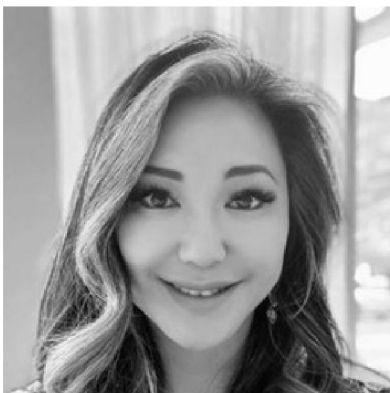
Patrick McEntyre
*Managing Director Global Equity
Derivatives*
National Bank Financial

Patrick is Co-Head of Institutional Sales for ETFs, Portfolio Trading, Electronic Trading, and Equity Derivatives. He also heads up the Electronic Trading & Services group, which offers DEA and algorithmic trading strategies to institutional clients. He has over 25 years of trading experience.

Prior to NBF, he was with ITG Canada as a Director in their electronic brokerage group and headed up their Commission Management Services. He spent his formative years in Montreal at boutique global investment dealer Pavilion Global Markets where, as the Head of North American Equity Trading, he was instrumental in developing their portfolio trading capabilities in both Canada and the U.S.

He has been actively involved in shaping the Canadian regulatory environment through regular participation in industry comment letters and contributions to advisory committees like MSAC, MRAC, and as current co-chair of the CSTA's Trading Issues Committee. He is a sitting member of NBF's Best Execution Committee, which is mandated to ensure best practices in trading for all NBF client flow.

Patrick is a CFA and went to most of his classes at the University of Western Ontario.



Megan Miceli
Vice President
Linedata Canada

Megan Miceli is Vice President at Linedata Canada, a leading provider of technology solutions for asset managers in the Canadian market. Over the past 20 years and prior to Linedata, Megan has held a variety of sales leadership roles helping to structure solutions for retail and institutional clients at several IIROC-registered entities, including broker dealers and a marketplace. Megan holds an Executive MBA from Ivey Business School.



Thomas Moore
*Managing Director & Head of Equity
Trading*
StoneX Group Inc.

Thomas is Managing Director and Head of Equity Trading at StoneX Financial Inc. He is responsible for StoneX's wholesaling businesses (ADR, Foreign Ordinary Shares, OTC, ETF, and NMS) and its algorithmic trading offering. StoneX is committed to delivering products and liquidity that help clients simplify their workflows and achieve the best execution. Thomas has spent 14 years at Stonex, his entire career.



Lisa Mueller
President & CEO
FutEra Power Corp

Lisa Mueller is educated as a mechanical engineer with over 20 years of technical and business development experience. Lisa began her career in the space sciences on a NASA project, then moved into the commercial arena by founding and growing her own manufacturing company. Most recently, she served as Senior Business Development Manager of Infrastructure at Shell Canada and President & CEO at Epoch Energy Development. Lisa joined Razor Energy in 2017 as Vice President New Ventures. In response to the global energy complex embracing a lower-carbon future, Razor Energy created a wholly owned subsidiary, FutEra Power. In 2023, the Alberta Investment Management Corporation, or AIMCo, purchased a majority stake in FutEra. As President and CEO of FutEra Power, Lisa aims to leverage Alberta's current energy assets to develop a cleaner energy tomorrow, beginning with Canada's first operating co-produced geothermal power plant.



Alex Perel
Head of ETF Services
Scotiabank

Alex Perel is Managing Director and Head of ETF Services at Scotiabank Global Banking and Markets. In this role, Alex leads Scotiabank's ETF market-making and client facilitation activities in Canada. Prior to joining Scotiabank in 2017, Alex led the ETF market making desk at another Canadian financial institution where he developed an expertise in Canadian equity market microstructure and regulatory affairs. He brings a comprehensive knowledge of the Canadian ETF landscape from the perspective of investors, fund sponsors and intermediaries. Alex graduated from the University of Waterloo with a Bachelors of Mathematics degree, and is a Chartered Financial Analyst. He is a past Chair of the Canadian Security Trader Association.



Dr. Marlene Puffer
Chief Investment Officer
AIMCo

Dr. Marlene Puffer is AIMCo's Chief Investment Officer. She joined AIMCo in 2023, and is responsible for Real Estate, Infrastructure, Private Equity and Private Debt and Loan, Fixed Income, Private Mortgages, Economics and Fund Strategy and Public Equities — addressing clients' needs for both liquid and illiquid investments. Marlene has an excellent investment track record and a wealth of experience in traditional and alternative investments, capital markets, and pension governance. She has held CEO, senior roles and board positions at major Canadian pension funds and investment dealers. Most recently, she was the President and Chief Executive Officer at CN Investment Division, accountable for all aspects of the investment management and organizational oversight of the CN Pension Trust Funds. She is the former vice-chair of the Board of the Healthcare of Ontario Pension Plan (HOOPP) and past president of Women in Capital Markets. Marlene is a CFA charterholder and received her Ph.D. in Finance and Statistics from the Simon Business School, University of Rochester.



Laurence Rose
Chairman, President and CEO
Tradelogiq Markets Inc.

Laurence Rose is Chairman, President and Chief Executive Officer of Tradelogiq Markets Inc., an IIROC member firm which is the operator of two lit marketplaces: Omega ATS and Lynx ATS. These two marketplaces facilitate trading in Canadian listed securities, such as equities and listed fixed income. Mr. Rose also serves as President of private investment firm Matchpoint Financial Corp. and was the founder of ADL Ventures Inc. a capital pool company which was the predecessor to the Real Brokerage Inc. (NASDAQ/TSX:REAX) a leading technology driven real estate brokerage. Mr. Rose spent over 11 years at global investment bank Cantor Fitzgerald where his responsibilities included executive oversight of a number of business units, joint ventures, and investments. Mr. Rose also served as Chairman, President and Chief Executive Officer of Cantor Fitzgerald Canada Corporation and Senior Managing Director of Cantor Fitzgerald & Co. Prior to joining Cantor Fitzgerald, Mr. Rose was founder and Chief Executive Officer of CollectiveBid Systems Inc. and its wholly-owned investment dealer subsidiary, CBID Markets Inc., which launched Canada's first Alternative Trading System (ATS). With an extensive background in the capital markets and technology sectors, his professional experience also includes positions with RBC Dominion Securities Inc., Dow Jones Markets Inc. and Bridge Information Systems. Mr. Rose has served on a number of Boards of both corporate and non-profit organizations.



Eric Stockland
MD, Head Of Quantitative Strategy
BMO Capital Markets

Eric is responsible for BMO's Electronic Trading institutional quantitative strategy globally. He collaborates with clients to improve benchmark performance by applying a robust empirical approach for testing and measuring results using an iterative and methodical process. His knowledge of market structure and adept usage of advanced trading technologies allows him to tackle complex trading strategies as he strives to improve a clients' trading performance. A thought-leader and industry veteran, Eric has over 20 years of experience in trading, capital markets technology, and exchange operations. Prior to joining BMO Capital Markets, he served as IEX's Chief Strategy Officer, representing IEX in industry and regulatory policy discussions and providing leadership to multiple teams within IEX. Eric led product development, quantitative research and business development teams at various times during his 4-year tenure with the company. Prior to IEX he was an execution consultant at KCG, a trader at GETCO and he began his career at Archipelago (now NYSE Arca).



Larry Tabb is the Director of Market Structure Research at Bloomberg Intelligence, writing research as well as managing a team focused on financial markets infrastructure, technology, regulation, and trading practices. Prior to joining Bloomberg, Larry was Founder and Research Chairman of TABB Group, the financial markets' strategic advisory and research firm. Prior to TABB Group, Larry wrote research for TowerGroup, managed business analysis for Lehman, and ran various operations for CitiGroup. Larry has testified for the US Senate Banking Committee, was on the SEC Fixed Income Market Structure Advisory Committee, the CFTC Technology Advisory Committee (TAC) and has spoken at and moderated countless industry events. In 2022, Mr. Tabb received the Security Traders' Association Dictum Meum Pactum (My Word Is My Bond) award for "those individuals who have demonstrated an unwavering commitment to the betterment of the financial services industry and have exhibited acts of integrity at the highest level."

Larry Tabb
Head of Market Structure Research
Bloomberg



Alex Taylor is the Vice President of Equity and Debt Surveillance at Canadian Investment Regulatory Organization (CIRO). Alex has a broad range of experience in Canada's capital markets over the past two decades in various roles including mid-office, technology, sales & trading. Alex previously worked at CIBC for 7 years heading the cash equities trading supervision group and also represented the firm in numerous industry committees and working groups. Previous to CIBC, Alex worked at TMX developing regulatory technology solutions for both regulators and dealers before moving to the TMX business team responsible for developing the firm's equity trading business as Canada's ATS rules evolved.

Alex Taylor
VP, Surveillance
CIRO



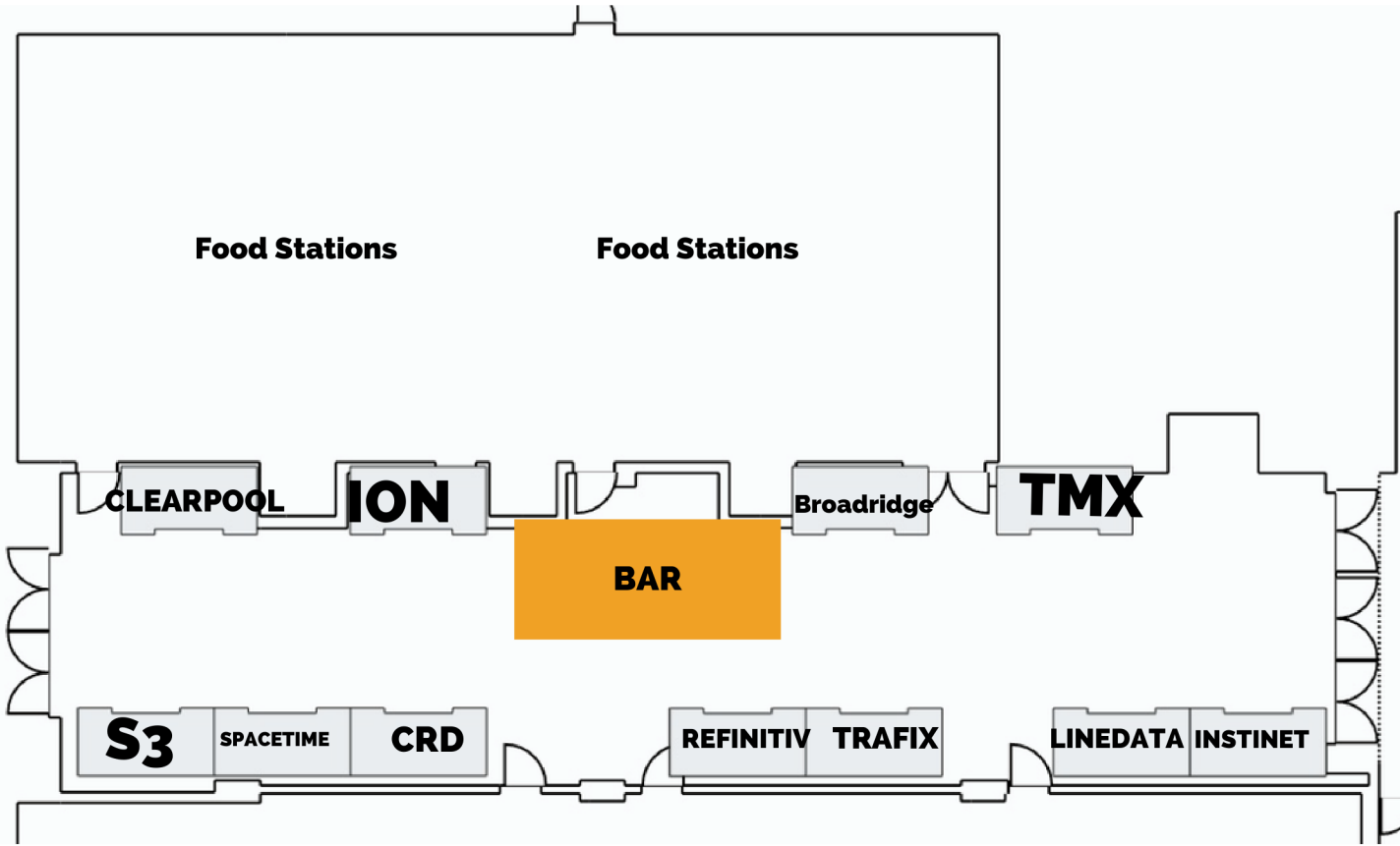
Jim Toes is the President and CEO of the Security Traders Association (STA), a grassroots trade organization serving financial services industry professionals. He maintains STA's relationship with regulators and congressional policymakers and has written over 50 comment letters on various issues. Toes has authored nearly 100 articles on current events and human interest stories, aligning with STA's core principle of the relationship matters. With over 30 years of experience in the securities business, Toes has served on committees and testified before Congress, particularly in Section 31a fees. He is a former President of the Security Traders Association of New York and a former Governor and Secretary of STA.

Mr. Toes graduated from Fordham College, Fordham University and lives in Manhasset, Long Island with his wife, Jeanine Padala-Toes, a former senior equity salestrader at Prudential Bache. They have 4 children.

Jim Toes
President & CEO
STA



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